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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CENTER 1600/2900

APPLICANTS: Sean Farmer

ASSIGNEE: Ganeden Biotech, Inc.

SERIAL NUMBER: 09/708.870

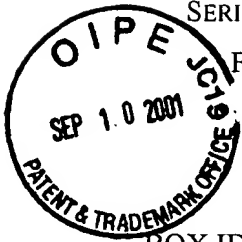
EXAMINER: Not Yet Assigned

FILING DATE: November 8, 2000

ART UNIT: 1633

FOR: Inhibition of Pathogens by Probiotic Bacteria

September 10, 2001  
Boston, Massachusetts



BOX IDS

Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449 (submitted in duplicate) in the above-identified application, copies of which are submitted herewith. The order of presentation of the references should not be construed as an indication of the importance of the references.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in the above-identified case. Accordingly, no fee or certification is believed required. Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311 (Reference No. 19374-509; GND-9).

Respectfully submitted,

A handwritten signature in black ink, appearing to read "IvR Beattie".

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Express Mail Label No.: EL851681226US  
Date of Deposit: September 10, 2001

Attorney Docket No. 19374-509 (GND-9)

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TRANSMITTAL LETTER

Transmitted herewith for filing in the above-referenced patent application are the following documents:

- ☒ Information Disclosure Statement (1 pg.);
- ☒ Modified Form 1449/PTO (1 pg.);
- ☒ Cited References (B1-B6; C1-C5); and
- ☒ Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at 617-542-6000, Boston, Massachusetts.

No fee is believed to be due. However, if any fees are to be assessed, the Commissioner is hereby authorized to charge the balance due to the undersigned's account, Deposit Account 50-0311, Ref. No. 19374-509 (GND-9)

Respectfully submitted,

*IA Beattie*

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